

12/01/97

J494 U.S. PCT

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NO.

A-1 Schwartz1-US

U.S. APPLICATION NO.

08/973293

INTERNATIONAL APPLICATION NO.
PCT/US97/06831INTERNATIONAL FILING DATE
April 23, 1997PRIORITY DATE CLAIMED
4/23/96 and 5/3/96

TITLE OF INVENTION

SECURE POSTAGE PAYMENT SYSTEM AND METHOD

APPLICANT(S) FOR DO/EO/US

Robert G. Schwartz, George M. Brookner, Fetneh Eskandari,
Michael A. Brown, David H. Mechler, Gary A. Gardner (deceased) legally
represented by Gaye D. Gardner, and Douglas T. Heroy

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US)
the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to being national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau. (copy enclosed)
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 13. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
 13. ☒ Other items or information: Original Fiduciary's Probate Certificate in the matter of Gary A. Gardner (deceased) for recording

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. 1.10

"Express Mail" Mailing Label No. EI721853184US. Date of Deposit December 1, 1997.
I hereby certify that this Transmittal and the documents and fees referred to as enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Alex L. Yip Req. No. 34,759
(name of person mailing papers and fees)

Alex Yip
(Signature of person mailing papers and fees)

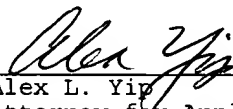
U.S. Application No.		International Application No. PCT/US97/06831		Atty Docket No. A-1 Schwartz1-US	
17. [X] The following fees are submitted:				<u>CALCULATIONS</u>	
Basic National Fee (37 C.F.R. 1.492(a)(1)-(5))					
IPEA - US \$720.00					
ISA - US \$790.00					
PTO not ISA or IPEA \$1,070.00					
Claims meet PCT Article 33(1)-(4)-IPEA-US . . . \$98.00					
For filing with EPO or JPO search report . . . \$930.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 790	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 or [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	198 - 20 =	178	X \$ 22.00	\$ 3,916	
Independent Claims	13 - 3 =	10	X \$ 82.00	\$ 820	
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Reduction by 1/2 for filing by small entity, if applicable				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 or [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$ 5,526	
Fee for recording the enclosed (1) Fiduciary's Probate Certificate and (2) Assignment. \$40.00 per document.				+	\$ 80
TOTAL FEES ENCLOSED =				\$ 5,606	

A check in the amount of \$ 5,606.00 to cover the above fees is enclosed.

SEND ALL CORRESPONDENCE TO:

Alex L. Yip
Attorney at Law
P.O. Box 670425
Flushing, NY 11367

Respectfully,



Alex L. Yip
Attorney for Applicants
PTO Reg. No. 34,759

Date: December 1, 1997